

# Topic 6

## Timbre Representations

# We often say...

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- “that singer’s voice is **magnetic**”
- “the violin sounds **bright**”
- “this French horn sounds **solid**”
- “that drum sounds **dull**”
  
- What aspect(s) of sound do these words describe?
  - Pitch? Loudness? Harmonicity?

# We can easily...

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- Recognize a friend's voice from only a few words
- Distinguish the sound of clarinet from oboe, even if they play the same note with the same loudness and duration

Oboe



Clarinet



- What physical properties of sound do we use?

# Timbre (tone quality, tone color)

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“That attribute of auditory sensation in terms of which a subject can judge that two sounds similarly presented and having the same loudness and pitch are dissimilar.”

---- ANSI, 1960.

- OK, but..., what is timbre?
- What physical properties does timbre refer to?

# Timbre and Physics

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- “Quality of tone [timbre] should depend on the **manner** in which the motion is performed within the period of each single vibration”

---- Helmholtz, 1877.

- “Timbre depends primarily upon the **spectrum** of the stimulus, but it also depends upon the **waveform**, the **sound pressure**, the **frequency location** of the spectrum, and the **temporal characteristics** of the stimulus.”

---- ANSI, 1960.

# Examples

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- Spectral energy distribution
  - The clarinet and oboe example

- Attack (onset)

Without attack



With attack



- Temporal evolution

Time reverse



# Timbre and Sound Synthesis

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- Sound synthesizers use some of the previously mentioned attributes to synthesize instrument sounds
- Somewhat similar to the real instrument, but not quite

# The concept of timbre is still vague

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- “The word timbre...is empty of scientific meaning, and should be expunged from the vocabulary of hearing science.”

----- Keith Martin, PhD thesis, 2000.

- But, it's worth figuring it out, at least partially, if we want to design computational systems to recognize timbre



# Physical vs. Psychological

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Frequency

Pitch

Low - high

Intensity

Loudness

Soft - loud

?

Timbre

Warm  
Bright  
Rough  
Violin-like

...

# Question

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- How to find out what attributes contribute to the diversity of timbre?
  - Randomly choose some attribute (or their combinations) and change it, and then see if the timbre is significantly changed?
  - So many attributes and combinations
  - Doesn't sound efficient

# Induction from Observations

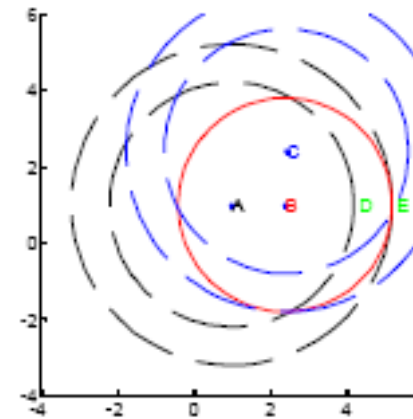
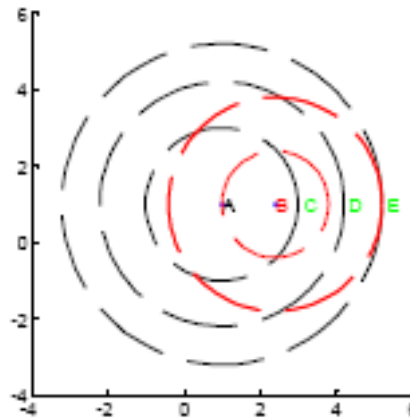
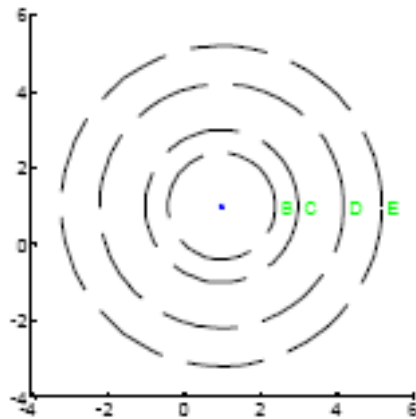
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- Collect a number of sounds with different timbre
- Ask a number of people to rate the timbre similarity/distance between the sounds
- **Embed** the similarity/distance matrix into a low dimensional space
- Observe/listen to the change of sound along some dimensions

# Multidimensional Scaling (MDS)

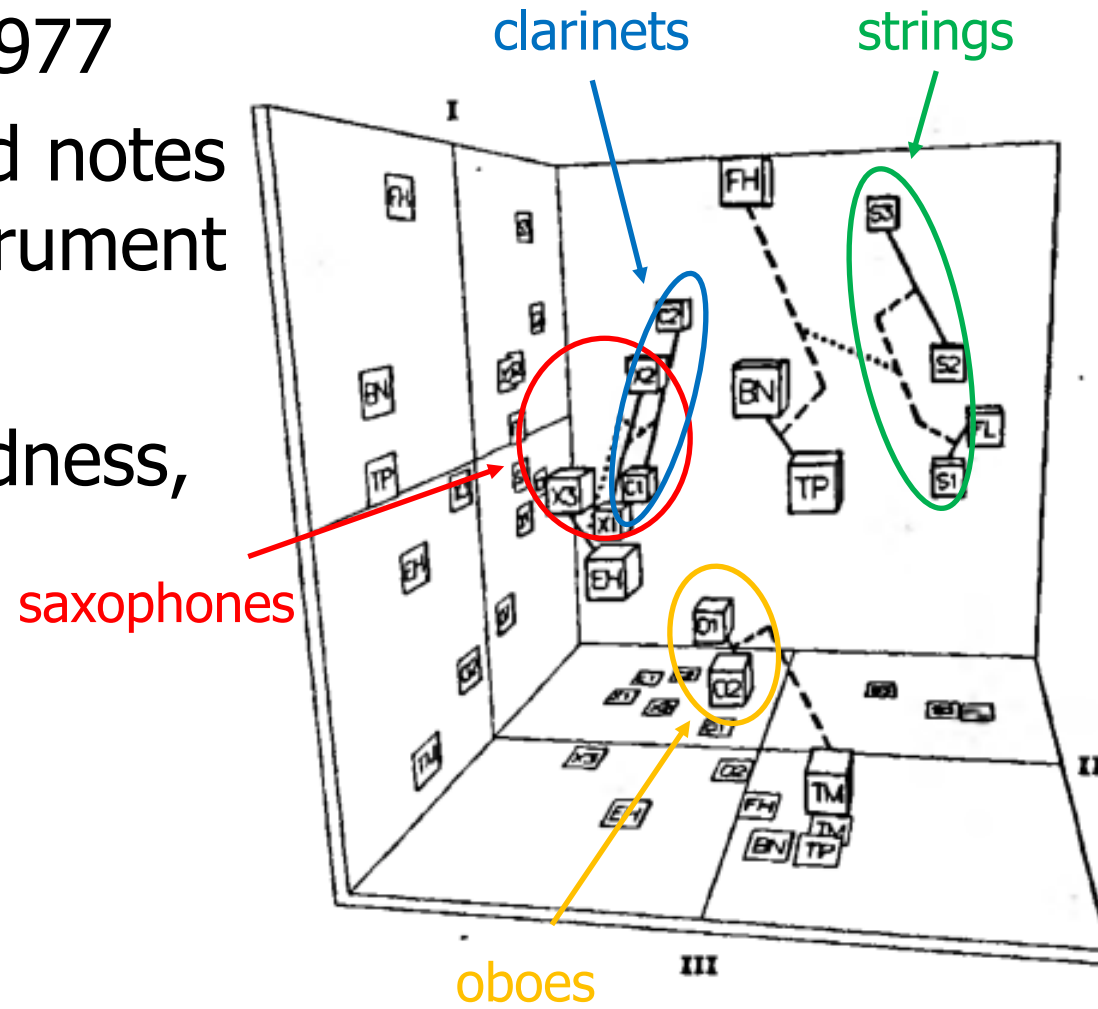
- We have got a distance matrix between objects
- Put objects into a low dimensional space such that the distances are (approximately) preserved

	A	B	C	D	E
A	0	1.4	2	3.2	4.2
B		0	1.4	2.8	2.8
C			0	4.2	3.2
D				0	4
E					0



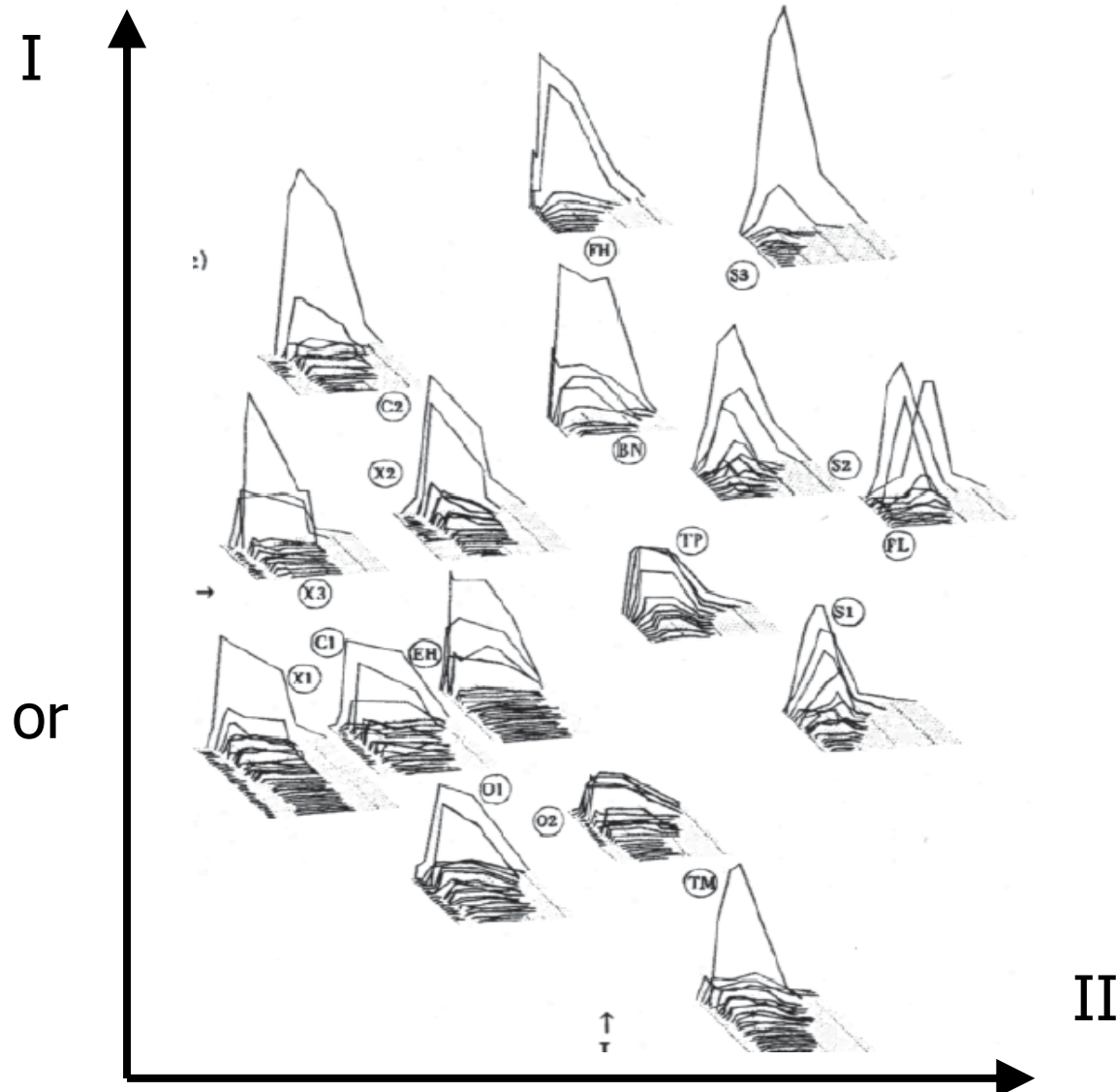
# MDS for Timbre

- John M. Grey, 1977
- 16 resynthesized notes by different instrument
- Same pitch, loudness, and duration
- 35 listeners



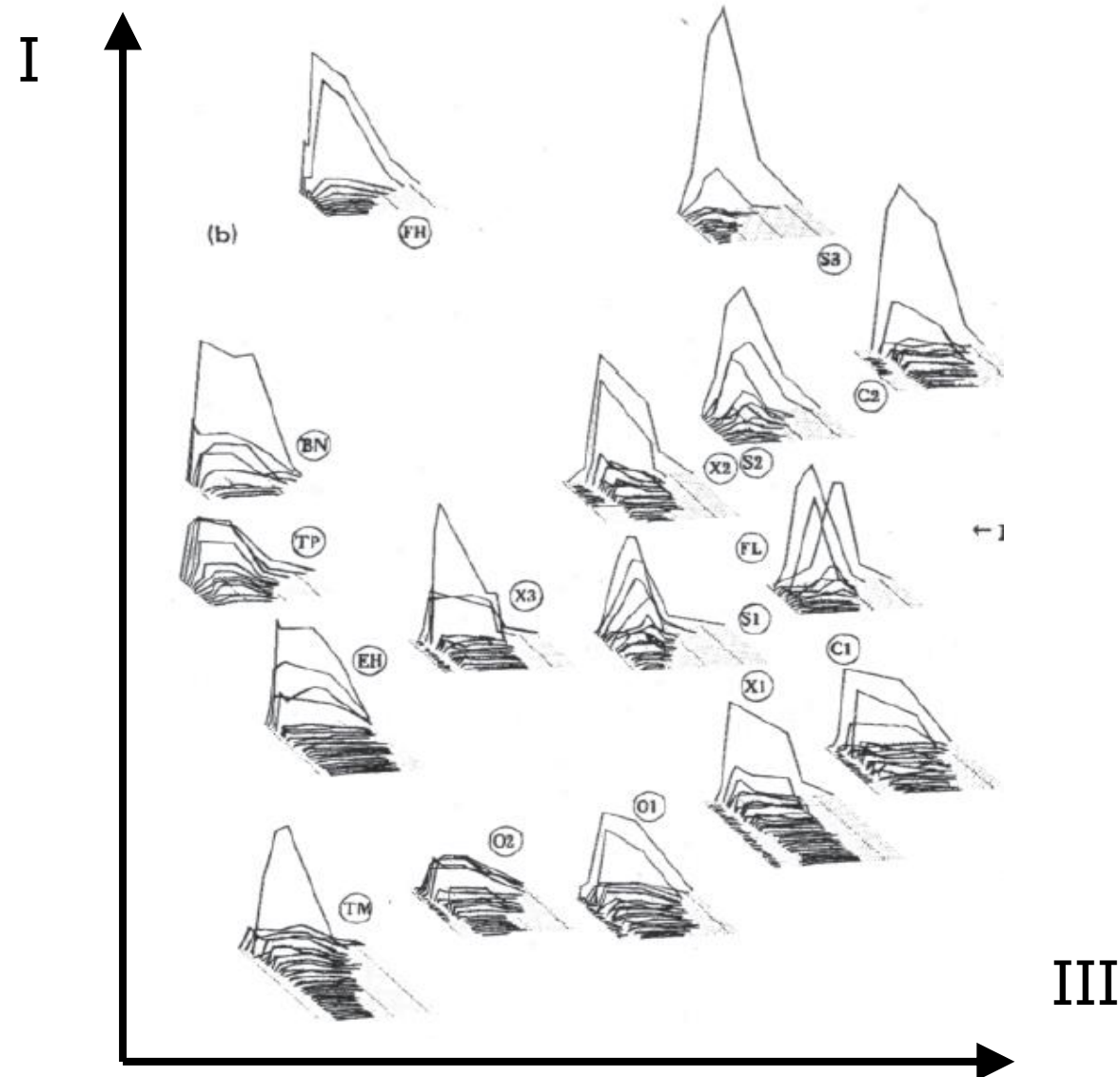
# Dimensions I and II

- Dimension I corresponds to **spectral energy distribution**
- Dimension II corresponds to **spectral fluctuation** or **synchronicity**



# Dimensions I and III

- Dimension I corresponds to **spectral energy distribution**
- Dimension III corresponds to the **presence of inharmonic energy during attack**



# Human Instrument Classification

**Confusion matrix**

Stimulus	Response															
	O1	O2	EH	BN	C1	C2	X1	X2	X3	FL	TP	FH	TB	S2	S1	S3
O1	173	82	35	4	8	5	10	6	3	-	8	-	6	6	5	2
O2	115	218	24	3	1	-	2	-	-	1	-	-	-	-	-	-
EH	40	38	248	12	-	-	5	3	3	-	1	-	8	2	4	1
BN	1	4	8	305	-	-	-	-	-	-	14	26	9	-	-	-
C1	1	-	-	-	294	60	8	6	-	-	-	-	-	-	-	1
C2	-	-	2	-	77	258	10	12	6	-	1	-	-	-	-	2
X1	1	-	2	3	1	2	229	86	39	-	1	-	3	-	-	-
X2	1	-	2	3	6	8	67	231	39	1	-	1	-	-	-	-
X3	6	9	29	4	3	2	30	42	236	-	1	-	3	-	-	-
FL	-	-	-	-	-	-	-	-	-	358	-	-	-	5	8	1
TP	1	-	5	5	-	-	-	-	-	-	342	4	7	1	-	-
FH	-	-	2	1	-	-	-	-	-	5	7	356	-	-	-	-
TB	3	4	1	-	-	-	1	-	-	1	9	-	346	-	1	-
S2	-	-	-	-	1	-	-	-	-	3	-	-	-	267	74	24
S1	6	2	3	6	1	-	-	-	-	7	2	-	1	57	263	9
S3	-	-	-	1	2	-	-	-	-	1	-	2	-	26	15	320

- Human improves classification performance after practice, i.e., our ears can figure out what aspects of sounds are related to timbre.



# Limitations of Grey'77

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- Very few notes
- The notes are resynthesized. Not real.
- Only one pitch and loudness
  
- Didn't look at timbre consistency of notes played by the same instrument

# Timbre Definition Revisit

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“That attribute of auditory sensation in terms of which a subject can judge that two sounds similarly presented and having the same loudness and pitch are **dissimilar**.”

---- ANSI, 1960.

- Doesn't mention the role timber plays in cases where pitch and/or loudness are different.
  - Two notes played by the same instrument have similar timbre, even if they have different pitch and/or loudness.



# Timbre Features

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- Physical attributes of sounds that represent timbre
- Easy to calculate from the signal
- Can discriminate different sound sources (e.g., musical instruments, talkers)
- Approximately invariant to pitch/loudness changes for the same source

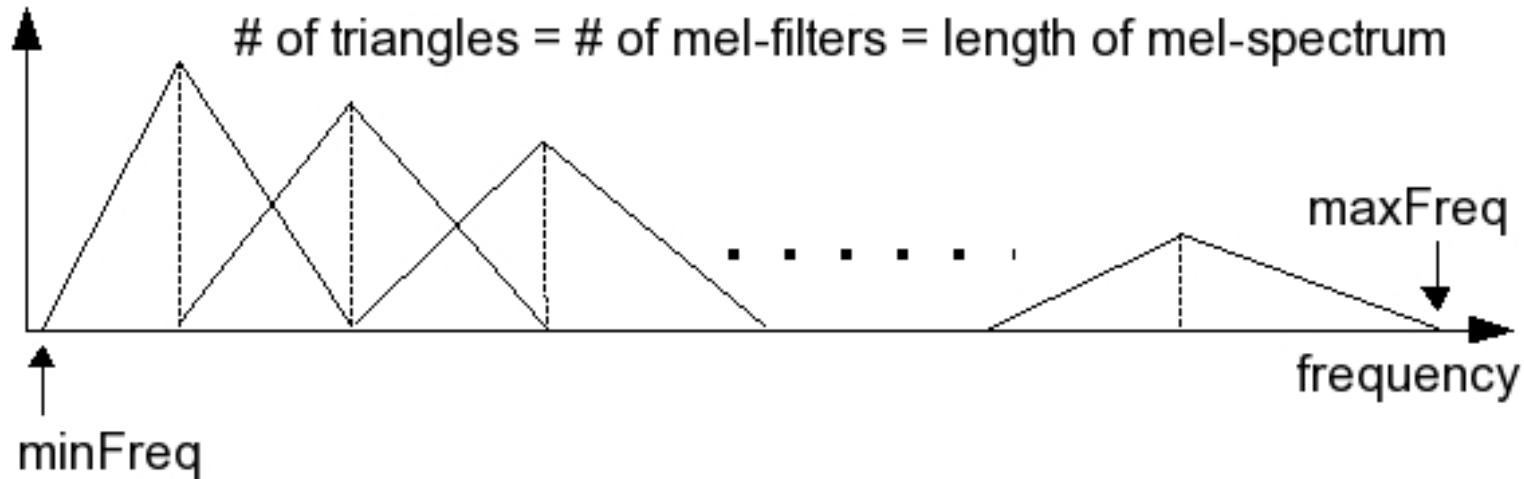
# Time-domain Features

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- RMS
  - Used to discriminate silence/non-silence
- Zero crossing rate (ZCR)
  - How often the time-domain signal changes its sign
  - Describes the amount of high-frequency energy
  - Correlates strongly with spectral centroid
  - Quite discriminative for percussion instruments

$$ZCR(n) = \frac{1}{2N} \sum_{i=1}^N |\text{sign}(x[n+i]) - \text{sign}(x[n+i-1])|$$

# Mel Filter Bank



- Filters spaced equally in the log of the frequency.
- Mels are (more or less) related to frequency by...

$$\text{Mel} = 2595 \log_{10}\left(1 + \frac{f}{700}\right)$$

- Edge of each filter = center frequency of adjacent filter
- Typically, 40 filters are used

# Spectral Features

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- Can be calculated from either the linear frequency magnitude spectrum, or the mel-scale filter bank response.
- From now on, let  $X[k]$  be either a linear frequency scale magnitude spectrum or a mel-scale filter bank response.

# Spectral Features

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- Spectral centroid

$$C_f = \frac{\sum_k kX[k]}{\sum_k X[k]}$$

- Spectral spread

$$S_f^2 = \frac{\sum_k (k - C_f)^2 X[k]}{\sum_k X[k]}$$

# Spectral Features

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- Spectral skewness

- How asymmetric of the frequency distribution around the spectral centroid

$$\gamma_1 = \frac{\sum_k (k - C_f)^3 X[k]}{S_f^3 \sum_k X[k]}$$

- Spectral kurtosis

- The peakiness of the frequency distribution

$$\gamma_2 = \frac{\sum_k (k - C_f)^4 X[k]}{S_f^4 \sum_k X[k]}$$



# Spectral Features

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- Spectral flatness

- How flat (i.e., “white-noisy”) the spectrum is

$$SFM = 10 \log_{10} \left( \frac{(\prod_{k=1}^K X[k])^{1/K}}{\frac{1}{K} \sum_{k=1}^K X[k]} \right)$$

- Spectral irregularity

- The jaggedness of the spectrum

$$SI = \frac{\sum_k (X[k] - X[k + 1])^2}{\sum_k X[k]^2}$$

# Spectral Features

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- Spectral roll-off

- The frequency index  $R$  below which a certain fraction  $\gamma$  of the spectral energy resides

$$\sum_{k=1}^R X[k]^2 \geq \gamma \sum_k X[k]^2$$

- Spectral flux (delta spectrum magnitude)

- Measure of local spectral change

$$SFX(t) = \sum_k \left( \frac{X_t[k]}{\sum_k X_t[k]} - \frac{X_{t-1}[k]}{\sum_k X_{t-1}[k]} \right)^2$$

# Harmonic Features

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- Inharmonicity

- Average deviation of spectral components from perfectly harmonic positions

$$IH = \frac{2}{F_0} \times \frac{\sum_{h=1}^H |f_h - hF_0| \times a^2(h)}{\sum_{h=1}^H a^2(h)}$$

- Odd-to-even ratio

$$OER = \frac{\sum_{h \text{ odd}} a^2(h)}{\sum_{h \text{ even}} a^2(h)}$$

# Harmonic Features

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- Tristimulus

- Relative weights of low and high harmonics

$$T1 = \frac{a^2(1)}{\sum_{h=1}^H a^2(h)}$$

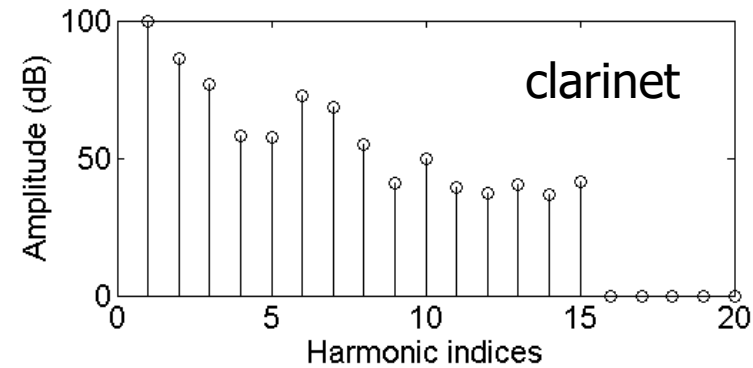
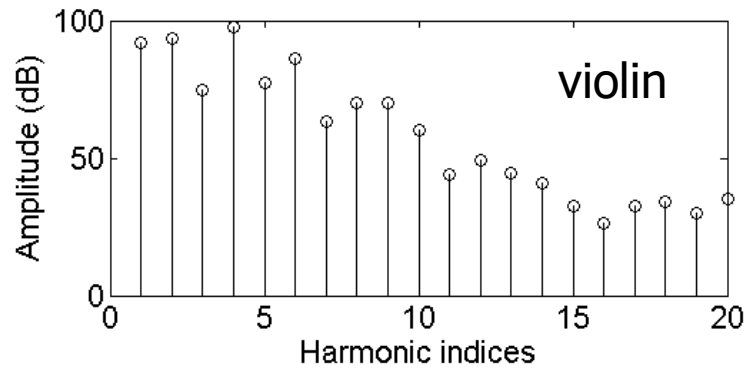
$$T2 = \frac{a^2(2) + a^2(3) + a^2(4)}{\sum_{h=1}^H a^2(h)}$$

$$T3 = \frac{\sum_{h=5}^H a^2(h)}{\sum_{h=1}^H a^2(h)}$$

# Harmonic Features

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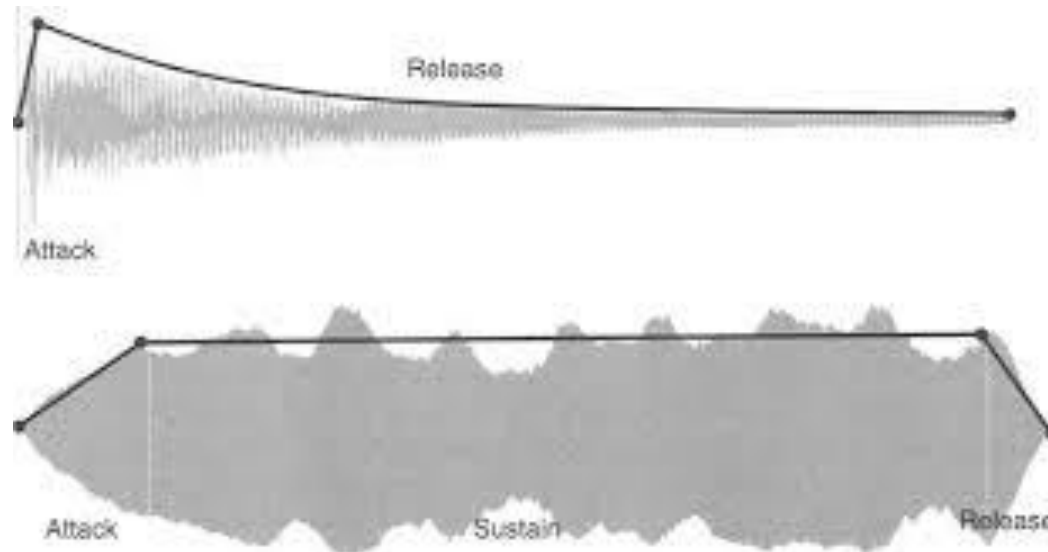
- Harmonic structure
  - Relative normalized amplitudes (dB) of harmonics



# Temporal Features

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- Amplitude envelope



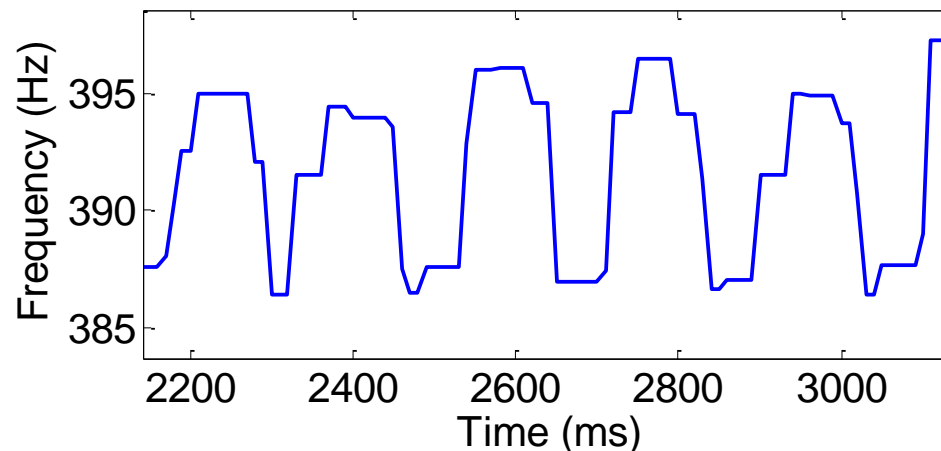
- Attack time

$$LAT = \log_{10}(t_{80} - t_{20})$$

# Temporal Features

- Vibrato rate and depth
  - How fast and how much the pitch changes

Pitch contour of  
a violin note



- Around 5-6Hz
- How to calculate its period and amplitude?

# Temporal Features

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- Tremolo
  - Amplitude changes periodically
  - Perform FFT on the RMS contour



# Cepstral Features

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- Mel-frequency Cepstral Coefficients (MFCC)
  - 1. Calculate magnitude spectrum
  - 2. Calculate the mel-scale filterbank response (e.g., 40-d)
  - 3. Take log of the filterbank response
  - 4. Perform discrete cosine transform (DCT) on the 40-d vector in 3.
  - 5. Choose the several (e.g., 15) lowest-order DCT coefficients

# Deltas of MFCC

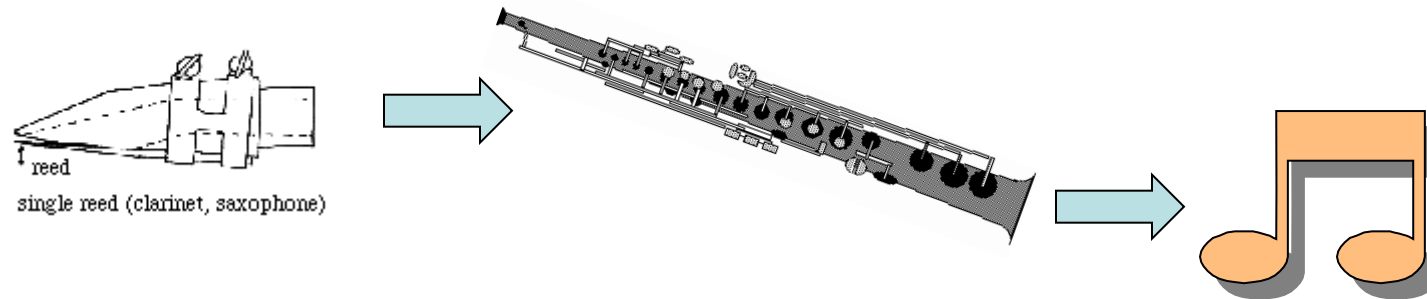
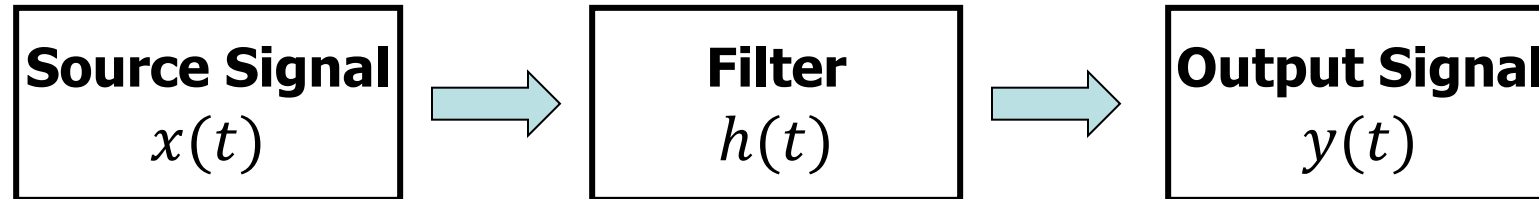
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- Capture the temporal evaluation of MFCC
- Delta:
  - “velocity”, the local slope.  $M=1$  or  $2$ .

$$\Delta\text{Cep}_i(t) = \frac{\sum_{m=-M}^M m \text{Cep}_i(t + m)}{\sum_{m=-M}^M m^2}$$

- Delta-delta
  - “acceleration”
- Broadly used in speech/speaker recognition, instrument recognition, etc.

# Source-Filter Model



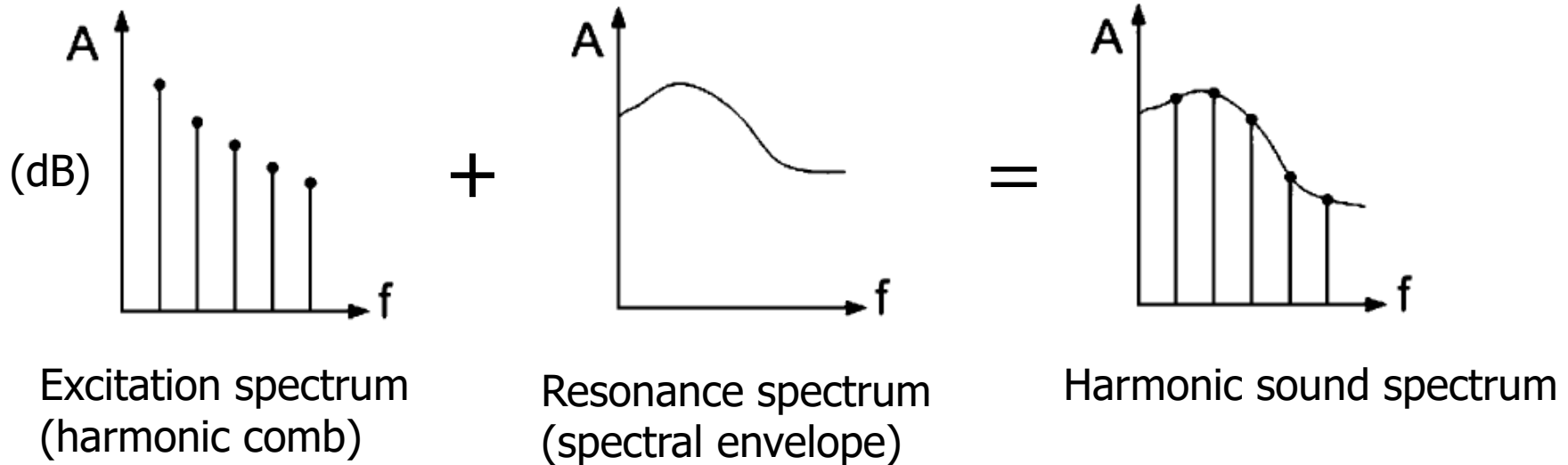
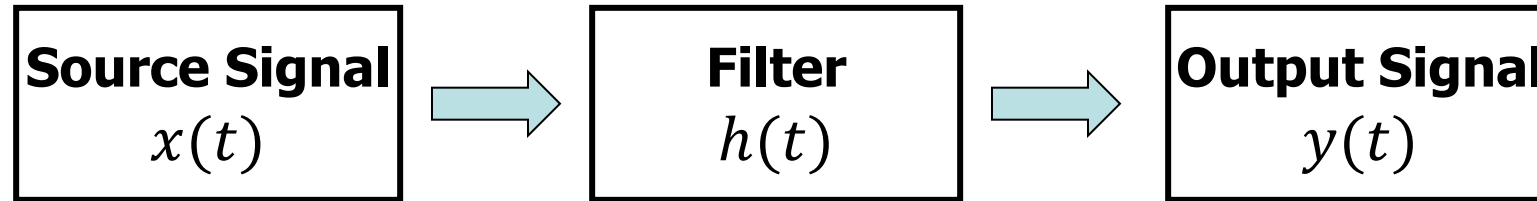
- Filtering is convolution in time domain, i.e., multiplication in frequency domain.

$$x(t) * h(t) = y(t)$$

$$X(f) \times H(f) = Y(f)$$

$$|X(f)| \times |H(f)| = |Y(f)|$$

# Harmonic Sounds



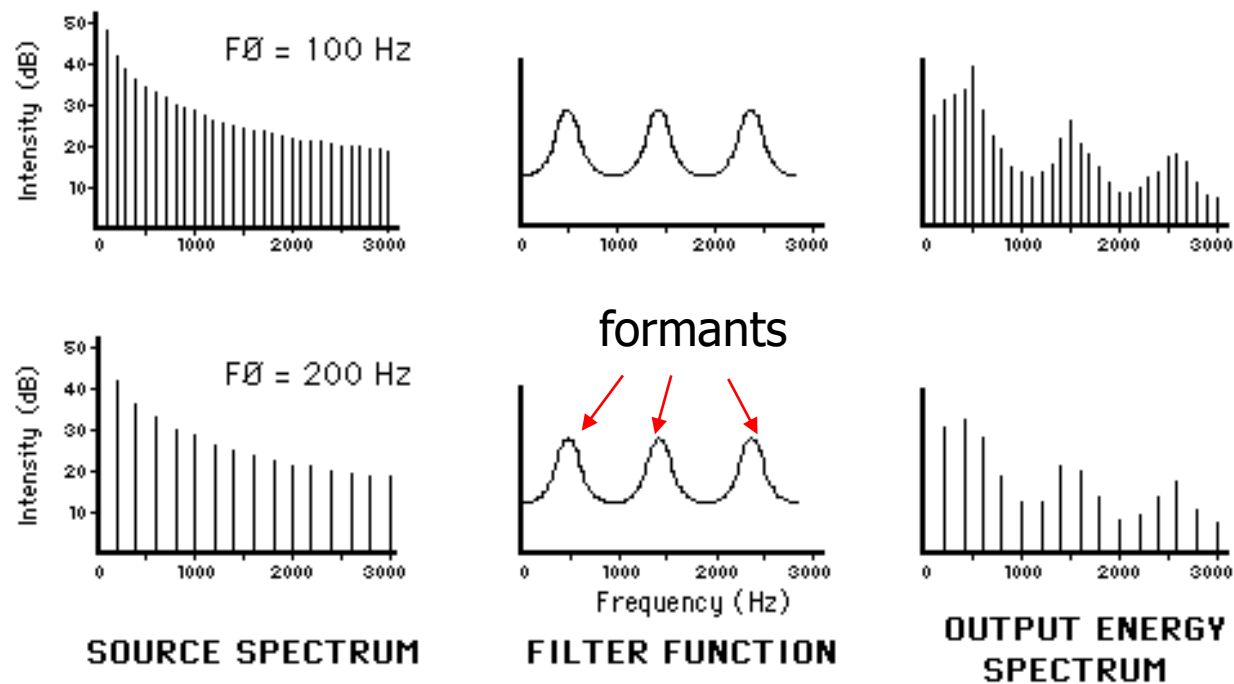
- For log-amplitudes, multiplication becomes addition

$$\log_{10}|X(f)| + \log_{10}|H(f)| = \log_{10}|Y(f)|$$

# Spectral envelope $\rightarrow$ timbre

- The excitation spectrum changes with pitch
- The spectral envelope changes with the shape, material, etc. of the resonance body
  - It does not change much with pitch.

Speech production  
(from Haskins Lab at Yale)

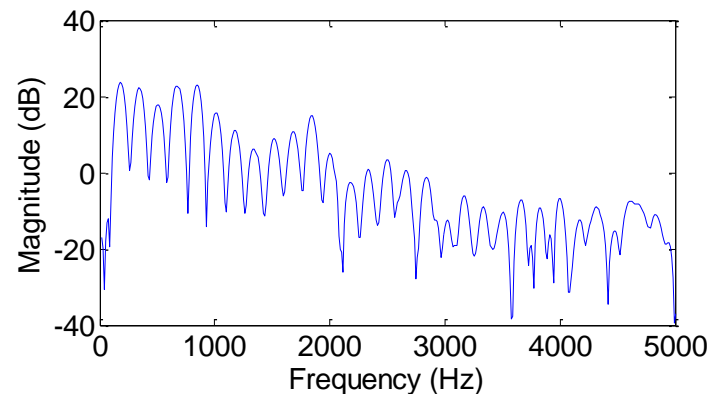


# How to characterize the envelope?

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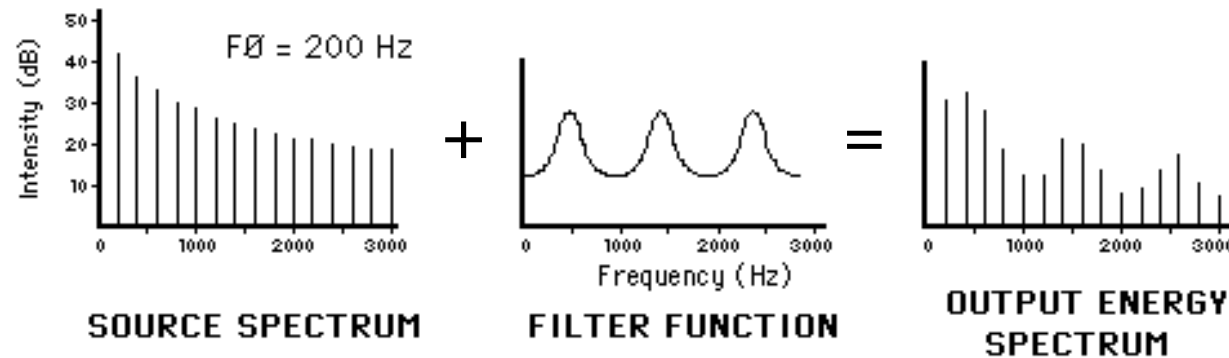
- First thought
  - Detect peaks
  - Draw a smooth line connecting the peaks
  - This line is the envelope
- How to represent the envelope?
  - Non-parameterized? Very high dimension
  - Parameterized. How?
    - Polynomial?
    - Sinusoidal?

Harmonic  
sound  
magnitude  
spectrum



# Basic Idea of Cepstrum

- View the log-magnitude spectrum as a mixture of two signals, one high-frequency and one low frequency.



- What if we perform Fourier analysis on the mixture?
  - Fourier transform is linear!
  - Fourier transform separates low/high frequencies!
- Higher Fourier coefficients  $\Leftrightarrow$  excitation spectrum
- Lower Fourier coefficients  $\Leftrightarrow$  spectral envelope

# Formal Definition of Cepstrum

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- Bogert et al. 1963, heuristically

$$\text{power cepstrum} = |\mathcal{F}^{-1}\{\log|\mathcal{F}\{x(t)\}|^2\}|^2$$

- Digital version
  - Use DFT and IDFT to replace Fourier transforms.
- Why IDFT?
  - Well, it actually doesn't matter for real signals.



# IDFT or DFT? It doesn't matter.

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- Remember IDFT

$$\begin{aligned}y[n] &= \frac{1}{N} \sum_{k=0}^{N-1} Y[k] e^{j2\pi kn/N} \\ &= \frac{1}{N} \sum_{k=0}^{N-1} Y[k] \left\{ \cos\left(\frac{2\pi kn}{N}\right) + \underbrace{j \sin\left(\frac{2\pi kn}{N}\right)}_{\text{Cancelled out}} \right\}\end{aligned}$$

- Now, substitute  $a[k] = \log|X[k]|$  (**symmetric, real**) as  $Y[k]$  into the equation

$$c[n] = \frac{1}{N} \left( \underbrace{a[0]}_{\text{DC}} + (-1)^n \underbrace{a\left[\frac{N}{2}\right]}_{\text{Nyquist}} \right) + \frac{2}{N} \sum_{k=1}^{\frac{N}{2}-1} \underbrace{a[k]}_{\text{Positive frequencies}} \cos\left(\frac{2\pi kn}{N}\right)$$

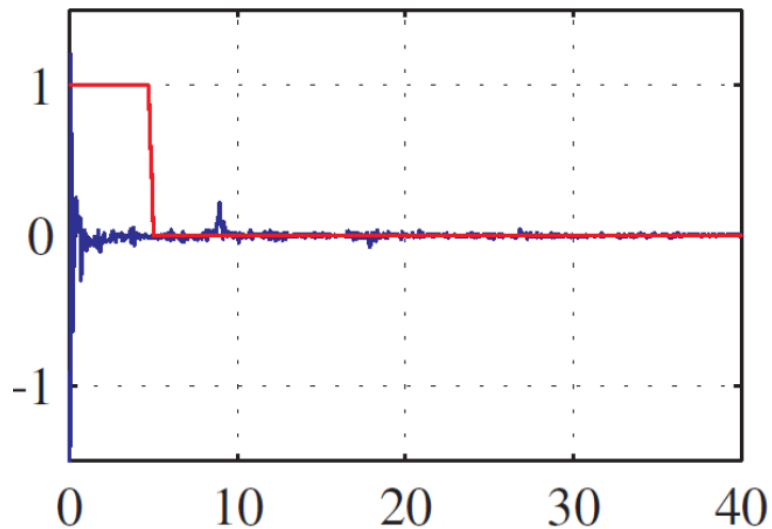
# Discrete Cosine Transform

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- The previous equation is exactly taking DCT on the positive frequency part of the log-magnitude spectrum ( $k=0:N/2$ )
- There are many types of DCT. They are basically doing the same thing. Their differences are only at some constants, DC and Nyquist components, and sometimes a half-sample phase.

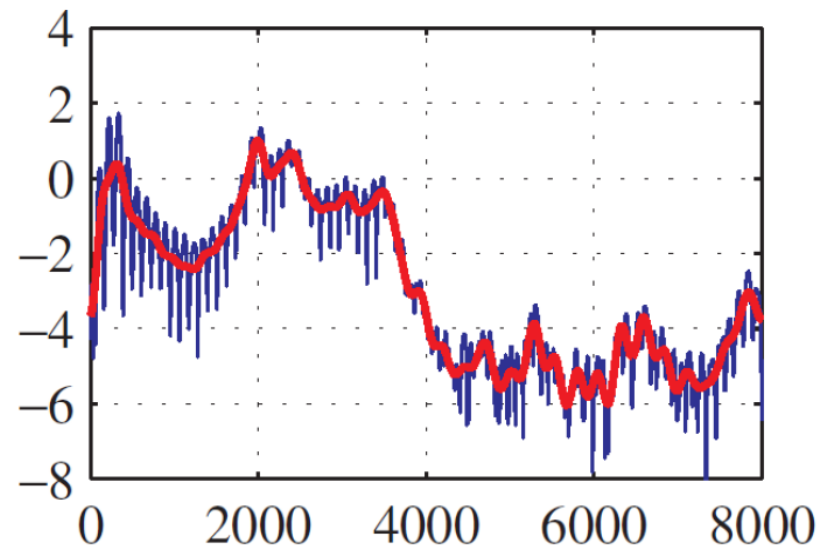
# Liftering

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Cepstrum

Liftering  
Quefrequency



Spectrum

Filtering  
Frequency

# Another Explanation of Liftering

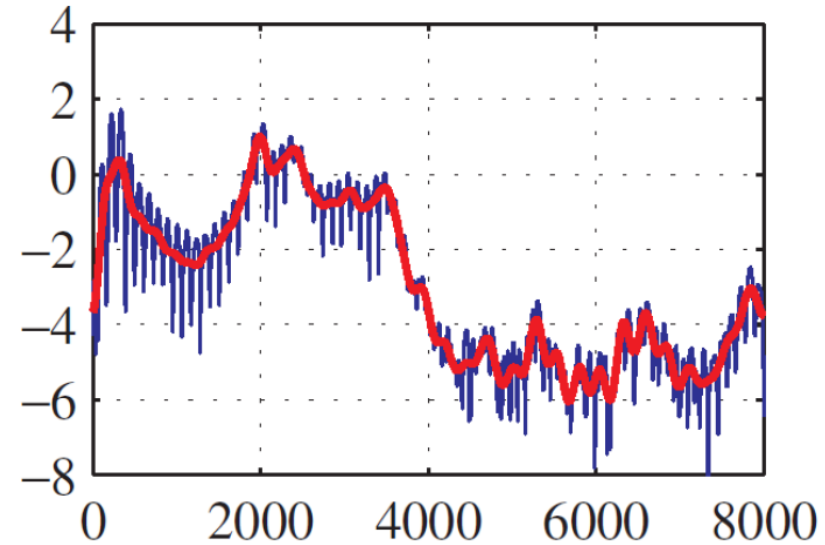
- Approximate the log-amplitude spectrum with a linear combination of several sinusoids.

$$a[k] \approx c_0 + \sqrt{2} \sum_{i=1}^{p-1} c_i \cos\left(2\pi i \frac{k}{N}\right)$$

$$\begin{pmatrix} a_0 \\ \vdots \\ a_{N/2} \end{pmatrix} = \underbrace{\begin{pmatrix} 1 & \sqrt{2} \cos(2\pi q_1 0) & \cdots & \sqrt{2} \cos(2\pi q_{p-1} 0) \\ \vdots & & \ddots & \vdots \\ 1 & \sqrt{2} \cos\left(2\pi q_1 \frac{N}{2}\right) & \cdots & \sqrt{2} \cos\left(2\pi q_{p-1} \frac{N}{2}\right) \end{pmatrix}}_M \begin{pmatrix} c_0 \\ \vdots \\ c_{p-1} \end{pmatrix}$$

- $q_i = i/N$  (quefreny)

$M$  (first  $p$  columns of a DCT matrix)



# Least-square Solution

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$$\begin{pmatrix} c_0 \\ \vdots \\ c_{p-1} \end{pmatrix} = \underbrace{(M^T M)^{-1}}_{\text{Scaled identity matrix}} M^T \begin{pmatrix} a_0 \\ \vdots \\ a_{N/2} \end{pmatrix} = \frac{1}{N} M^T \begin{pmatrix} a_0 \\ \vdots \\ a_{N/2} \end{pmatrix}$$

Scaled identity matrix

- Columns of  $M$  are orthogonal
- The first  $p$  cepstral coefficients are the least square solution of approximating the log-amplitude spectrum using weighted sum of  $p$  sinusoids.

# Linear Predictive Coding

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- Assuming the source-filter model.
- Assumes the current signal sample can be approximated by a **linear** combination of past samples and a source signal

$$x[n] = \sum_{k=1}^p a_k x[n-k] + e[n]$$

- By Z transform

$$H(z) = \frac{X(z)}{E(z)} = \frac{1}{1 - \sum_{k=1}^p a_k z^{-k}}$$

- All-pole model; autoregressive (AR) model
- $\{a_k\}$  models the resonance filter.

# Estimating LPC models

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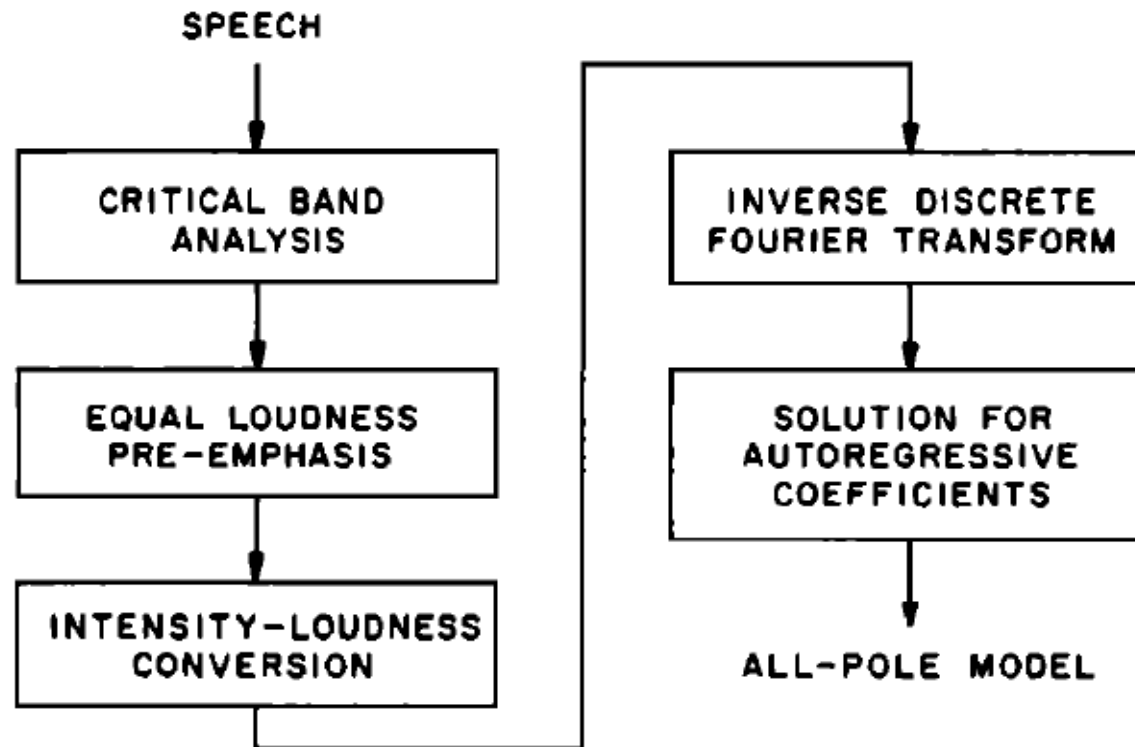
- For speech, the vocal tract (hence  $\{a_k\}$ ) doesn't change much within about 20ms.
- Minimize the residue  $e[n]$  within this range

$$\sum_n e^2[n] = \sum_n \left( x[n] - \sum_{k=1}^p a_k x[n-k] \right)^2$$

- Taking derivative w.r.t.  $a_k$ , we get a system of  $p$  linear equations involving autocorrelations, i.e., Yule-Walker-Equations.

# Perceptual Linear Predictive (PLP)

- Uses auditory models to modify LPC.



(Hermansky, 1990)



# Instrument Recognition

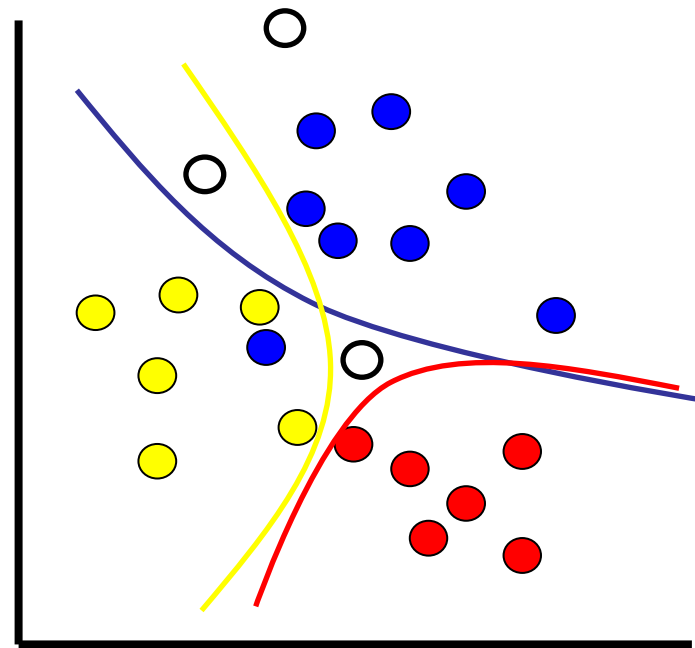
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- Feature extraction
  - Calculate a bunch of the above-mentioned features from the audio signal
  - Stack them into a single vector (high-dimensional!)
- Feature selection
  - Which features are more useful?
  - Which features are correlated?
- Feature transformation (reduce dimensionality)
  - Principal Component Analysis (PCA), similar to MDS

# Instrument Recognition

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- Training
  - Collect a bunch of notes for each instrument
  - Perform feature extraction on the notes
  - Train a classifier for each instrument
- Recognizing a note
  - Perform feature extraction on this note
  - Run each instrument classifier on it



# Question

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- Can we use the previously presented features to represent a source in polyphonic audio?
- The calculation of most of those features (except harmonic features) uses the full spectrum
- The full spectrum of a source cannot be obtained from the mixture spectrum without source separation

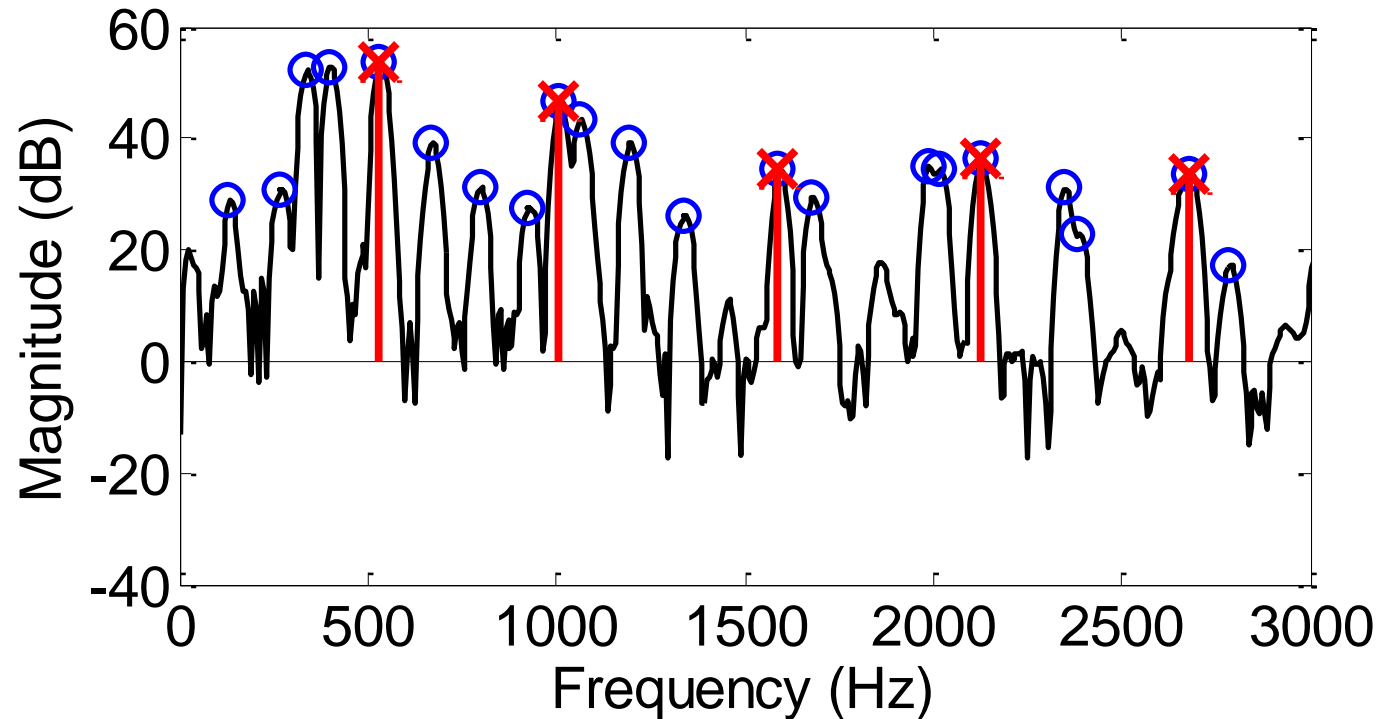
# Calculate features from the mixture?

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- Principle
  - Find the frequency bins whose energy mostly belong to the source (i.e., observable frequencies for the source)
  - Calculate features from these frequency bins
- For harmonic sound mixtures
  - Assuming the pitch of the source is given
  - Harmonics are generally the observable frequencies
  - Calculate features from these harmonics

# Harmonic Structure

- Assume the pitch of the source is given
- Detect the closest peak for each harmonic



# Discrete Cepstrum (DC)

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- Galas & Rodet, 1990
- Approximate the log-amplitude spectrum with a linear combination of several sinusoids, only at the observable frequencies

$$a[k] \approx c_0 + \sqrt{2} \sum_{i=1}^{p-1} c_i \cos\left(2\pi i \frac{k}{N}\right)$$

where  $k$  indexes **observable frequencies**.

- Least square solution of  $\{c_i\}$ .

# Problems of DC

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- The calculated cepstral coefficients tend to overfit the spectrum at observable frequencies, resulting in arbitrary values at other frequencies with huge oscillations.
- Regularized Discrete Cepstrum
  - Cappe et al., 1995
  - Regularize the smoothness of the reconstruction
  - Alleviates the problem

# Uniform Discrete Cepstrum

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- Duan et al., 2014
- Zero out non-observable frequencies
- Perform DCT, i.e., approximate the **new** log-amplitude spectrum with a linear combination of several sinusoids

$$\hat{a}[k] \approx c_0 + \sqrt{2} \sum_{i=1}^{p-1} c_i \cos\left(2\pi i \frac{k}{N}\right)$$

Where  $k$  indexes all frequencies.

- The zeros in  $\hat{a}[k]$  serve as another kind of regularizer